

STANDARD TRANSFER FORM – FOR UNLISTED INVESTMENT FUNDS

A TRANSFEROR (SELLER) DETAILS

Fund or Trust in which investment is held (MUST BE COMPLETED)

Security Reference / Unitholder /
Portfolio Number

Verification Procedures: For security purposes, the registration details of the Seller and the authorisation to transfer will be subject to verification. This verification process may include contacting the Seller. Where verification cannot be carried out to the satisfaction of the Issuer or Responsible Entity, the transfer may be rejected and returned with a request to provide additional information.

Registered Account Name (in full)

Registered Address for the Account

Unit No.	Street No.	Street Name		
<input type="text"/>	<input type="text"/>	<input type="text"/>		
Suburb			State	Postcode
<input type="text"/>			<input type="text"/>	<input type="text"/>
Country			Sellers day time Phone Number	
<input type="text"/>			<input type="text"/>	

B TRANSFEREE (BUYER) DETAILS

Transfer to my existing Security Reference / Unitholder / Portfolio number (if applicable)
 – All below sections must still be completed for verification purposes.

Please tick one of the following: Individual Joint Superannuation Company Trust

Investor 1 (Individual, Sole Trader, Directors, Trustees)

Title
 Mr Mrs Miss Ms Other

Surname

Given Name(s)

Date of Birth
 / /

Residential Address

Unit No.	Street No.	Street Name		
<input type="text"/>	<input type="text"/>	<input type="text"/>		
Suburb			State	Postcode
<input type="text"/>			<input type="text"/>	<input type="text"/>
Country				
<input type="text"/>				
Home Number	Work Number	Mobile		
<input type="text"/>	<input type="text"/>	<input type="text"/>		
Email		Fax Number		
<input type="text"/>		<input type="text"/>		
Tax File Number or Exemption Code				
<input type="text"/> - <input type="text"/> - <input type="text"/>				

Investor 2 (Individual, Sole Trader, Directors, Trustees)

Title

Mr Mrs Miss Ms Other

Surname

Given Name(s)

Date of Birth

/ /

Residential Address

Unit No.

Street No.

Street Name

Suburb

State

Postcode

Country

Home Number

Work Number

Mobile

Email

Fax Number

Company / Partnership

Full name of Company / Partnership

ABN / ARBN / ARSN

- - -

Tax File Number or Exemption Code

- -

Registered Address (no PO.Box)

Unit No.

Street No.

Street Name

Suburb

State

Postcode

Country

Home Number

Work Number

Mobile

Email

Fax Number

Superannuation Fund / Trust Or Other Entity

Full name of Superannuation Fund / Trust

ABN / ARBN / ARSN

- - -

Tax File Number or Exemption Code

- -

C

TRANSFEEE (BUYER) CONTACT DETAILS

Mailing Address for Account

Same as Investor 1 Residential Address

Same as Investor 2 Residential Address

Same as Company / Partnership etc Address

Otherwise complete details below.

PO Box / RMB / Locked Bag / Care of (c/-) Property name / Building Name (if applicable)

Unit No.

Street No.

Street Name

Suburb

State

Postcode

Country

D**BANK ACCOUNT DETAILS – TRANSFEREE (BUYER)**

Insert details of your **Australian Financial Institution**, Branch and Account into which you wish to have your payments made.

Please check your account details carefully. It is your responsibility to ensure all payee account details are correct. Incorrect details may result in a loss of funds and we do not guarantee their recovery. We do not accept liability for funds unable to be recovered. Please confirm the correct account details with the payee.

Name of Australian Financial Institution

Branch

Branch number (BSB)

 -

Account Number

Account Name

Please note: If your account number does not have 9 digits please do not add zeros at the beginning or end of your account number unnecessarily as it may result in an incorrect payment.

E**TRANSFER AND DECLARATION (SELLER AND BUYER)**

In consideration of the receipt by me/us, the Seller, of the sum of (amount in words)

Amount

 \$

Number of Securities (amount in word)

Number of Securities

Date of Purchase

 / /

Class of Securities

I/We, the Seller, as the registered holder(s) of the above securities ('Securities'):

- Transfer to the Buyer the Securities held in my/our name(s) in the register of the above fund(s); and
- Agree that this transfer is subject to the same conditions on which I/we held them at the time of signing this transfer.

I/We, the Buyer:

- Agree to accept the above Securities subject to the same terms and conditions as were applicable to the Seller and acknowledge being bound by the provisions of the constitution(s) of the fund(s);
- Confirm that I/we have received and read a copy of the current Offer Document for the fund(s);
- All details provided in this Standard Transfer Form are true and correct and I/we undertake to inform you of any changes to the information supplied as and when they occur;
- If signing under power of attorney, the attorney declares that he/she has not received notice of revocation of that power; and
- I/we may be required to provide additional proof of identification information for the purposes of AML/CTF Law.

Signature of Transferor / Seller

Individual / Trustee / Sole Director and Sole Company Secretary / Director (circle one)

Name: (Please Print)

Date

 / /

Signature of Transferor / Seller

Individual / Trustee / Director (circle one)

Name: (Please Print)

Date

 / /

Signing Instructions: This form should be signed by the securityholder. If a joint holding, all securityholders should sign unless indicated otherwise on your latest operating instructions. If signed by the securityholder's attorney, the power of attorney must have been previously noted by the registry or a certified copy attached to this form. If executed by a company, the form must be executed in accordance with the securityholder's constitution and the *Corporations Act 2001* (Cth).

Privacy Statement: MUFG Corporate Markets (AU) Limited advises that Chapter 2C of the Corporations Act 2001 requires information about you as a unitholder (including your name, some or all of the information is not collected then it might not be possible to administer your unitholding. Your personal information may be disclosed to the entity in which you hold units. Also certain personal information may be disclosed to the Australian Tax Office on request.

Signature of Transferee / Buyer

Individual / Trustee / Sole Director and Sole Company Secretary / Director (circle one)

Name: (Please Print)

Date

 / /

Signature of Transferee / Buyer

Individual / Trustee / Director (circle one)

Name: (Please Print)

Date

 / /

HOW TO COMPLETE THE STANDARD TRANSFER FORM FOR NON-MARKET TRANSACTIONS WHEN TRANSFERRING UNITS IN AN UNLISTED INVESTMENT FUND

All parties to the transfer should complete all sections of this form and, where relevant provide all necessary documentation to establish the identity of the transferee (buyer).

Please note that if you are transferring to two individual accounts you will need to complete two transfer forms.

Also a separate form must be completed for each holding to be transferred.

Anti-Money Laundering – We may request additional information for the purposes of AML / CTF Verification.

A. Transferor (Seller) Details – All sections must be completed

Fund Name

A brief description of the name of the fund in which securities being transferred / sold are held.

Note: A separate standard transfer form must be completed for each different fund and each different registered holding.

Security Reference / Unitholder / Portfolio Number

This can be found on confirmation of holdings or distribution statements.

The transfer cannot be processed without the seller's Unitholder / Portfolio Number.

Full name(s) of Transferors(s)

Enter the given and last names of the individual(s) / Trustee(s) / Director(s) and / or Company/Corporation transferring the securities as shown on securityholder documents.

Registered address of Transferor

Insert full address of Transferor. This address must be identical to the registered address on file.

B. Transferee (Buyer) Details – All sections must be completed

Transferees Security Reference / Unitholder / Portfolio Number (if an existing holder)

This can be found on confirmation of holdings or distribution statements. If transferring to an existing holding all sections must still be completed for verification purposes.

Full name(s) of Transferee(s)

Enter the given and last names of the individual(s) / Trustee(s) / Director(s) and / or Company / Corporation acquiring the securities through this transfer. If transferring into an existing holding you must write the name (and address) details of the existing holding exactly as they currently appear on the register.

Full Residential addresses of Transferee(s)

Insert full address for all Buyers, PO Boxes will not be accepted.

Tax File Number(s) or Exemption Code(s) of Transferee(s)

Enter the Tax File Number(s) / Exemption Code(s) or Australian Business Number (ABN).

Without your Tax File Number (TFN), or Australian Business Number (ABN) if a business account, any income earned may be subject to withholding tax at the highest marginal tax rate plus Medicare Levy. Securityholders who wish to claim an exemption from quotation of their TFN should enter the exemption code for the pension or benefit they receive.

Exemption Code Description 444 444 441 Age, Invalid, or Service / Veterans Pension 444 444 442 Carer's, Sole Parent's, Widow's, or Other Pension, Rehabilitation Allowance 555 555 555 Non profit organisation.

For more information on Tax File and Australian Business Numbers and Exemptions, please call the Australian Taxation Office.

C. Transferee (Buyer) Contact Details

Full Postal addresses of Transferee(s)

Insert full address if different from section B for all Buyers, please note however only one postal address may be recorded against the holding.

D. Bank Account Details – Transferee (Buyer)

Enter the Australian Financial Institution you wish to have any income (distribution) paid to.

E. Transfer and Declaration

Number of Securities to be sold

The number of securities being transferred (numbers only required)

Consideration (Value of the transfer or sale)

The price paid by the buyer to the seller for the securities on the date of transfer / sale, or market value.

Date of Purchase

Insert date of purchase or completion of the transfer.

Class of Securities

E.g. Stapled Securities, Securities, Fully Paid Ordinary Shares etc.

Seller(s) and Buyer(s) Signatures and the Dates MUST be signed, and circle capacity under signatures

- a) Individuals The securityholder must sign in the appropriate boxes.
- b) Joint holdings All of the securityholders must sign in the appropriate boxes.
- c) Power of attorney You must have already lodged the Power of Attorney with the registry or alternatively attach a certified photocopy of the Power of Attorney to this form.
- d) Executors When the holding is in the name of an estate, all executor(s) / administrator(s) are required to sign. When executors and beneficiaries are the same people they will need to sign as seller and buyer. Probate requirements must also be complied with.
- e) Companies Form must be executed in accordance with the securityholder's constitution and the *Corporations Act 2001* (Cth).

F. Customer Identification Declaration For AML / CTF Requirements and Financial Adviser Details

If the Buyer is investing through the services of a Financial Adviser the Adviser must complete this section to confirm that they have completed the customer identification procedure. Provide your financial adviser details if applicable.